

1 IRELL & MANELLA LLP
2 Morgan Chu (SBN 70446)
3 Benjamin W. Hattenbach (SBN 186455)
4 A. Matthew Ashley (SBN 198235)
5 Michael D. Harbour (SBN 298185)
6 Olivia Weber (SBN 319918)
7 1800 Avenue of the Stars, Suite 900
8 Los Angeles, California 90067-4276
9 Telephone: (310) 277-1010
10 Facsimile: (310) 203-7199
11 Email: mchu@irell.com
12 Email: bhattenbach@irell.com
13 Email: mashley@irell.com
14 Email: oweber@irell.com
15 Email: mharbour@irell.com
16 *Counsel for Defendants*
17 FORTRESS INVESTMENT GROUP LLC,
18 FORTRESS CREDIT CO. LLC,
19 VLSI TECHNOLOGY LLC

20 PAUL, WEISS, RIFKIND, WHARTON &
21 GARRISON LLP
22 Martin Flumenbaum (*pro hac vice* pending)
23 1285 Avenue of the Americas
24 New York, NY 10019-6064
25 Telephone: (212) 373-3191
26 Facsimile: (212) 492-0191
27 Email: mflumenbaum@paulweiss.com
28 *Counsel for Defendants*
29 FORTRESS INVESTMENT GROUP LLC,
30 FORTRESS CREDIT CO. LLC

31 Additional counsel listed on signature page

32 **UNITED STATES DISTRICT COURT**
33
NORTHERN DISTRICT OF CALIFORNIA

34 INTEL CORPORATION and APPLE INC.,

35 Case No. 3:19-cv-07651-EMC

36 Plaintiffs,

37 **DEFENDANTS' REQUEST FOR
38 JUDICIAL NOTICE IN SUPPORT OF
39 THEIR JOINT MOTION TO DISMISS
40 AND STRIKE PLAINTIFFS'
41 COMPLAINT**

42 v.

43 Hon. Edward M. Chen

44 FORTRESS INVESTMENT GROUP LLC,
45 FORTRESS CREDIT CO. LLC, UNILOC
46 2017 LLC, UNILOC USA, INC., UNILOC
47 LUXEMBOURG S.A.R.L., VLSI
48 TECHNOLOGY LLC, INVIT SPE LLC,
49 INVENTERGY GLOBAL, INC., DSS
50 TECHNOLOGY MANAGEMENT, INC., IXI
51 IP, LLC, and SEVEN NETWORKS, LLC,

52 Date: April 23, 2020
53 Time: 1:30 p.m.
54 Dept.: Courtroom 5

55 Defendants.

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57 DEFENDANTS' REQUEST FOR JUDICIAL NOTICE
58 Case No. 3:19-cv-07651-EMC

1 Defendants Fortress Investment Group LLC (“Fortress”), Fortress Credit Co. LLC
 2 (“Fortress Credit”), Uniloc 2017 LLC (“Uniloc 2017”), Uniloc USA, Inc. (“Uniloc USA”), Uniloc
 3 Luxembourg S.a.r.l. (“Uniloc Luxembourg”), VLSI Technology LLC (“VLSI”), INVT SPE LLC
 4 (“INVT”), Inventergy Global, Inc. (“Inventergy”), DSS Technology Management, Inc. (“DSS”),
 5 IXI IP LLC (“IXI”), and Seven Networks, LLC (“Seven Networks” and collectively
 6 “Defendants”) have moved to dismiss and to strike the Complaint of Plaintiffs Apple Inc.
 7 (“Apple”) and Intel Corporation (“Intel” and collectively “Plaintiffs”). In support of their Motion,
 8 Defendants request that the Court take judicial notice of various court and administrative
 9 decisions, court filings, and certain public records maintained by the United States Patent and
 10 Trademark Office (“USPTO”).

11 **ARGUMENT**

12 In ruling on a motion to dismiss under Rule 12(b)(6), “courts must consider . . . matters of
 13 which a court may take judicial notice.” *Tellabs, Inc. v. Makor Issues & Rights, Ltd.*, 551 U.S.
 14 308, 322 (2007). A fact is judicially noticeable if it is “not subject to reasonable dispute.” *Khoja*
 15 *v. Orexigen Therapeutics, Inc.*, 899 F.3d 988, 999 (9th Cir. 2018) (quoting Fed. R. Evid. 201(b)).
 16 Here, Defendants seek judicial notice of two categories of documents: (1) judicial and
 17 administrative filings and decisions in the allegedly “meritless” infringement actions that form the
 18 basis of Plaintiffs’ antitrust claims and California Unfair Competition Law claims, and
 19 (2) publicly available records of the USPTO. All of these materials are subject to judicial notice.

20 **I. THE PROCEEDINGS OF OTHER COURTS AND ADMINISTRATIVE
 21 AGENCIES ARE JUDICIALLY NOTICEABLE**

22 **A. The Court Should Take Judicial Notice Of Other Court And Administrative
 23 Decisions**

24 It is well established that courts may take judicial notice of a prior “order or decision”
 25 issued by another court. *In re High-Tech Employee Antitrust Litig.*, 856 F. Supp. 2d 1103, 1108
 26 (N.D. Cal. 2012) (A court “may take notice of proceedings in other courts . . . if those proceedings
 27 have a direct relation to matters at issue.”) (quoting *United States ex rel. Robinson Rancheria
 28 Citizens Council v. Borneo, Inc.*, 971 F.2d 244, 248 (9th Cir. 1992)). Courts may likewise take
 judicial notice of decisions made by administrative agencies. *Papai v. Harbor Tug & Barge Co.*,

1 67 F.3d 203, 207, n.5 (9th Cir. 1995), *rev'd on other grounds*, 520 U.S. 548 (1997) ("Judicial
 2 notice is properly taken of orders and decisions made by other courts and administrative
 3 agencies."). This includes decisions by the Patent Trial and Appeal Board ("PTAB") on whether
 4 to institute *inter partes* review. *See, e.g., Finjan, Inc. v. Blue Coat Sys., Inc.*, No. 15-CV-03295-
 5 BLF, 2016 WL 7732542, at *1, n.1 (N.D. Cal. July 25, 2016) ("The Court takes judicial notice of
 6 the PTAB's decisions on whether to institute IPR for these patents."); *Atlas IP LLC v. Pac. Gas &*
 7 *Elec. Co.*, No. 15-CV-05469-EDL, 2016 WL 1719545, at *1, n.1 (N.D. Cal. Mar. 9, 2016) (taking
 8 "judicial notice of the Final Written Decision of the Patent Trial and Appeal Board.").

9 As explained in Defendants' Motion to Dismiss and Strike, the gravamen of Plaintiffs'
 10 Complaint is that Defendants have allegedly brought waves of "meritless" patent suits against
 11 Plaintiffs and others predicated on supposedly "weak" patents. Motion to Dismiss and Strike § II.
 12 Defendants request that the Court take judicial notice of various decisions issued in these cases in
 13 which Defendants' patents or infringement claims have withstood a legal challenge. *See United*
 14 *Tactical Sys., LLC v. Real Action Paintball, Inc.*, No. 14-CV-04050-MEJ, 2016 WL 524761, at *5
 15 & n.5 (N.D. Cal. Feb. 10, 2016) (taking judicial notice of preliminary injunction ruling in alleged
 16 "sham" lawsuit). These decisions include the following:

- 17 • *VLSI Technology LLC v. Intel Corporation*, No. 19-CV-00977-ADA (W.D. Tex. Jan. 3,
 18 2020) (substantially adopting VLSI's claim constructions for disputed terms of four out
 19 of five patents);
- 20 • *Certain LTE- and 3G-Compliant Cellular Communications Devices*, Inv. No. 337-TA-
 21 1138 (Sept. 13, 2019), Order No. 52 (denying summary determination of non-
 22 infringement);
- 23 • *Seven Networks, LLC v. Google, LLC*, No. 17-CV-00442-JRG (E.D. Tex. Jan. 18, 2019)
 24 (denying summary judgment of non-infringement and invalidity).

25 Defendants also seek judicial notice of the following decisions issued by the PTAB
 26 denying Plaintiffs' request for *inter partes* review on Defendants' patents:

- 27 • *Intel Corporation v. VLSI Technology LLC.*, No. IPR2018-01038 (P.T.A.B. Dec. 4,
 28 2018);

- 1 • *Intel Corporation v. VLSI Technology LLC.*, No. IPR2018-01296 (P.T.A.B. April 11,
2 2019);
- 3 • *Intel Corporation v. VLSI Technology LLC.*, No. IPR2019-00034 (P.T.A.B. April 11,
4 2019);
- 5 • *Intel Corporation v. VLSI Technology LLC.*, No. IPR2019-01196 (P.T.A.B. Jan. 7,
6 2020);
- 7 • *Apple Inc. and ZTE (USA) Inc. v. INVT SPE LLC*, No. IPR2018-01478 (P.T.A.B.
8 February 19, 2019);
- 9 • *Apple Inc. and ZTE (USA) Inc. v. INVT SPE LLC*, No. IPR2018-01474 (P.T.A.B.
10 March 5, 2019);
- 11 • *Apple Inc. v. Uniloc 2017 LLC*, IPR2017-01993 (P.T.A.B. March 6, 2019);
- 12 • *Apple Inc. v. Uniloc Luxembourg S.A.*, IPR2017-02202 (P.T.A.B. May 1, 2018).

13 **B. The Court Should Take Judicial Notice of Plaintiffs' Filings In the Underlying
14 Patent Infringement Litigations**

15 Courts may also take judicial notice of the positions that a party has taken in another
16 litigation. *See, e.g., Skilstaf, Inc. v. CVS Caremark Corp.*, 669 F.3d 1005, 1016 & n.9 (9th Cir.
17 2012) (“The district court did not abuse its discretion by taking judicial notice of [other court]
18 filings” where “[t]here was no dispute about the contents or about the statements from those
19 filings.”); *United States v. Sullivan*, 700 F. App’x 766, 767, n.2 (9th Cir. 2017) (taking judicial
20 notice of brief filed in another appeal for purposes of “collateral estoppel”). Here, the Court
21 should take judicial notice of Plaintiffs’ filings in the underlying patent litigations, specifically
22 Plaintiffs’ repeated statements that they do not compete with Defendants. Motion to Dismiss and
23 Strike 21 n.9; Harbour Decl. ISO Motion to Dismiss and Strike (“Harbour Decl.”) ¶ 9. These
24 statements include the following:

- 25 • Intel’s Motion to Stay Pending *Inter Partes Review*, *DSS Technology Management, Inc. v. Intel Corporation*, No. 6:15-cv-130-RWS (E.D. Tex. Dec. 22, 2015), Dkt.
26 186 at 1 (“DSS is not a competitor of any of the Defendants and does not purport to
27 practice the asserted patents.”);

- Defendants' Motion to Stay Pending *Inter Partes* Review, *IXI Mobile (R&D) Ltd. v. Apple Inc.*, No. 15-cv-03755-HSG (N.D. Cal. Oct. 01, 2015), Dkt. 106 at 8:8 (“IXI IP, the patent owner, does not make any products or compete with the Defendants[.]”);
- Defendant Intel Corporation's Notice of Motion and Motion to Stay Pending *Inter Partes* Review, *VLSI Technology, LLC v. Intel Corporation*, 5:17-cv-05671-BLF (N.D. Cal. Feb. 28, 2019), Dkt. 250 at 2:10-11 (“VLSI does not make or sell any products, or compete with Intel.”);
- Respondents' Post-Hearing Reply Brief, *In the Matter of Certain LTE-And 3G-Compliant Cellular Communication Devices*, No.: 337-TA-1138 (USITC Nov. 13, 2019), Doc. ID, 695147 at 80 (“INVT and Respondents [including Apple] are not competitors[.]”) (public version).

In addition, the Court should also take judicial notice of Plaintiff Intel Corporation's assertion that Defendant VLSI Technologies LLC has pursued a “Fortress-led strategy to engage in aggressive serial assertions with the objective of eventually obtaining a windfall.” Intel's Opposition to Motion to Consider Whether Cases are Related, *VLSI Technology LLC v. Intel Corporation*, Case No. 5:17-cv-05671-BLF (N.D. Cal. Nov. 12, 2019), Dkt. 269 at 3:1-2. Motion to Dismiss and Strike 28 n.15; Harbour Decl. ¶ 10.

II. THE RECORDS OF THE USPTO ARE JUDICIALLY NOTICEABLE

Defendants also seek judicial notice of various records kept by the USPTO, including records of patent issuances and patent assignments. Such records are noticeable because they are publicly available and “not subject to reasonable dispute.” *Balance Studio, Inc. v. Cybernet Entm't, LLC*, No. 15-CV-04038-DMR, 2016 WL 1559745, at *1, n.3 (N.D. Cal. Apr. 18, 2016) (taking notice of USPTO records); *see also Khoja*, 899 F.3d at 1001 (noting that courts can take notice of “patent application[s]”); *Klang v. Pflueger*, 2014 WL 4922401, at *1 (C.D. Cal. July 10, 2014) (taking judicial notice of USPTO patent assignment records and the patents at issue “because they are public records”).

1 First, Defendants seek judicial notice of the number of patents that have been assigned to
 2 Intel and Apple since the beginning of 2000. *See Motha v. Time Warner Cable Inc.*, No. 16-CV-
 3 03585-HSG, 2016 WL 7034039, at *2 (N.D. Cal. Dec. 2, 2016) (taking notice of the fact that
 4 patent assignments were recorded). A list of such patents can be found by searching the USPTO's
 5 Patent Full Text ("PaFT") database which is publicly available. Harbour Decl. ¶¶ 3-4. A search
 6 of this database demonstrates that Intel and Apple have been assigned more than 35,000 and
 7 20,000 patents respectively since January 1, 2000. *Id.* Exhibits A-B.

8 Second, Defendants seek judicial notice of the fact that certain conveyances between IXI
 9 and Fortress Credit and DSS and Fortress Credit were publicly recorded with the USPTO.
 10 Harbour Decl. ¶ 8. Pursuant to 35 U.S.C. § 261, the USPTO maintains a publicly searchable
 11 electronic database of all recorded patent assignments and conveyances,¹ and Courts may take
 12 notice of the fact that "assignments were recorded with the USPTO." *Motha*, 2016 WL 7034039,
 13 at *2.

14 Third, Defendants seek judicial notice of the number of patents that fall within certain
 15 patent classifications as defined by the USPTO. The USPTO has established a patent
 16 classification scheme called the Cooperative Patent Classification (CPC).² The USPTO also
 17 maintains a database of patents that are searchable by classification. Harbour Decl. ¶¶ 5-7. A
 18 search of this database demonstrates that the USPTO has issued over 524,000 patents since
 19 January 1, 2000 under the CPC classification for "Electronic Digital Data Processing" and over
 20 368,000 patents since January 1, 2000 under the CPC classification for "Semiconductor Devices,"
 21 *id.* ¶¶ 6-7.

22 **III. CONCLUSION**

23 For the reasons stated above, the Court should grant Defendants' request for judicial
 24 notice.

25
 26
 27 ¹ USPTO, Patent Assignment Search (<https://assignment.uspto.gov/patent/index.html#/patent/search>).

28 ² <https://www.uspto.gov/web/patents/classification/cpc>

1 Dated: February 4, 2020

Respectfully submitted,

2 IRELL & MANELLA LLP

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By: /s/ A. Matthew Ashley

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A. Matthew Ashley
Counsel for Defendants
FORTRESS INVESTMENT GROUP LLC,
FORTRESS CREDIT CO. LLC,
VLSI TECHNOLOGY LLC

6

7

8

/s/ Christopher A. Seidl
Christopher A. Seidl (*pro hac vice*)
CSeidl@RobinsKaplan.com
ROBINS KAPLAN LLP
800 LaSalle Avenue, Suite 2800
Minneapolis, MN 55402
Telephone: 612 349 8468
Facsimile: 612 339-4181
Counsel for Defendants
INVT SPE LLC
INVENTERGY GLOBAL, INC.

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/s/ Nathaniel Lipanovich
Nathaniel Lipanovich (Bar No. 292283)
nlipanovich@thoits.com
THOITS LAW
400 Main Street, Suite 250
Los Altos, CA 94022
Telephone: 650 327-4200
Facsimile: 650-325-5572
Counsel for Defendant
DSS TECHNOLOGY MANAGEMENT,
INC.

/s/ Jason D. Cassidy
Jason D. Cassidy (*pro hac vice pending*)
jcassady@caldwellcc.com
CALDWELL CASSADY & CURRY
2121 N. Pearl Street, Suite 1200
Dallas, TX 75201
Telephone: 214 888-4841
Facsimile: 214-888-4849
Counsel for Defendant
IXI IP, LLC

1 /s/ James J. Foster
2 James J. Foster
3 *jfoster@princelobel.com*
4 PRINCE LOBEL TYE LLP
5 One International Place, Suite 3700
6 Boston, MA 02110
7 Telephone: 617 456-8022
8 Facsimile: 617 456-8100
9 *Counsel for Defendant*
10 UNILOC 2017 LLC

11 /s/ Daniel. R. Shulman
12 Daniel R. Shulman (*pro hac vice*)
13 *daniel.shulman@lathropgpm.com*
14 LATHROP GPM LLP
15 500 IDS Center
16 80 South 8th Street
17 Minneapolis, MN 55402
18 Telephone: 612 632-3335
19 Facsimile: 612 632-4000
20 *Counsel for Defendants*
21 UNILOC LUXEMBOURG S.A.R.L.
22 UNILOC USA, INC

23 /s/ Samuel F. Baxter
24 Samuel F. Baxter (*pro hac vice*)
25 *sbaxter@mckoolsmith.com*
26 MCKOOL SMITH
27 104 East Houston, Suite 100
28 Marshall, TX 75670
Telephone: 903 923-9001
Facsimile: 903 923-9099
Counsel for Defendant
SEVEN NETWORKS, LLC

ECF ATTESTATION

3 I, Olivia Lauren Weber, am the ECF user whose ID and password are being used to file
4 DEFENDANTS' REQUEST FOR JUDICIAL NOTICE IN SUPPORT OF THEIR JOINT
5 MOTION TO DISMISS AND STRIKE PLAINTIFFS' COMPLAINT. I hereby attest that I
6 received authorization to insert the signatures indicated by a conformed signature (/s/) within this
7 e-filed document.

By: /s/ *Olivia Lauren Weber*

Olivia Lauren Weber